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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Application of: Srivastava et al.

Confirmation No.: 1802 OCT 28 2002

Application No.: 09/873,403

Group Art Unit: 1642 **TECH CENTER 1600/2900**

Filed: June 4, 2001

Examiner: Christopher H. Yaen

For: COMPLEXES OF ALPHA (2) MACROGLOBULIN
AND ANTIGENIC MOLECULES FOR
IMMUNOTHERAPY

Attorney Docket No.: 8449-178-999

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT
UNDER 37 C.F.R. §1.56 AND §1.97

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

In accordance with the continuing duty of disclosure imposed by 37 C.F.R. § 1.56 to inform the U.S. Patent and Trademark Office of all references coming to the attention of each individual associated with the filing and prosecution of the subject application which are or may be material to the patentability of any claim of the application, Attorneys for Applicants respectfully direct the Examiner's attention to the references EA-EB listed on the attached revised form PTO 1449 entitled "Supplemental List of References Cited by Applicant." Copies of references EA-EB are submitted herewith.

Identification of the listed references is not to be construed as an admission of Applicants or Attorneys for Applicants that such references are available as "prior art" against the subject application.

Applicants request that the Examiner review all the references identified on the attached revised PTO Form 1449, and that they be made of record in the file history of the above-identified application.

Pursuant to 37 C.F.R. §1.97(b), since this information disclosure statement is being filed before the mailing date of a first Office Action on the merits, no fee is due in connection herewith. However, should the Patent Office determine otherwise, please charge

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the required fee to Pennie & Edmonds LLP deposit account no. 16-1150. A duplicate of this sheet is enclosed.

Respectfully submitted,

Date: October 23, 2002

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Enclosure